STORRE: Stirling Online Research Repository Procedures to ensure compliance with publisher policies and legislation

Background information on STORRE and publishers:

- STORRE is not used to publish research; instead it simply provides interested readers easy access to our research that has already been published.
- A primary purpose of STORRE is to provide access to our research output for those who do not have a subscription to the physical/online publisher version.
- The majority of publishers allow the author's own final, refereed version of articles to be made available in the author's institutional repository.
- Authors are asked not to submit the publisher's version of articles (unless they know the publisher allows it).
- Within each STORRE record, wherever possible, a hyperlink is added to the publisher's version of the article at the publisher's web site, this is for those with a suitable subscription. Non-subscribers can view the copy held in STORRE.

Checking procedures:

- Before articles submitted to STORRE are made publicly available they are checked by Information Services staff; the publisher policy for each article is examined to ensure that the publisher permits that version of the item to be made publicly available in the repository.
- The publisher policy is checked using the SHERPA RoMEO database: <u>http://www.sherpa.ac.uk/romeo/</u>. RoMEO documents publishers' policies and provides a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.
- Any article that the publisher policy does not allow to be used is rejected from STORRE, and returned to the submitter with an explanation. (Note this situation is rare).
- If the publisher policy allows another version of the article to be used instead, then the submitter is notified, and asked to submit this version if possible. The checking procedure will then be repeated.
- SHERPA RoMEO currently documents policies of more than 400 publishers, but if a submitted article's publisher is not included in RoMEO, we can request RoMEO to investigate and subsequently document outcomes. During this period the submitted article is completely hidden, and is not publicly available in STORRE.
- Some publishers will only allow a version of the article to be made publicly available after a specified embargo period. Information Services staff will check for, and comply with, any embargo requirements before items are made publicly available.
- The STORRE software handles embargo periods: the brief bibliographic details of the article are made public in STORRE but the full text file remains hidden. At the end of the embargo period, STORRE automatically releases the full text and makes it readable from that date forward.
- During embargo periods, users of STORRE have the option to directly request a copy of the hidden files from the author. STORRE handles these email requests automatically, and submitting authors can accept or reject such requests. Such emailing of articles to individual requestors is legal and common practice amongst academic researchers.
- Some publishers require specific statements concerning copyright to be added; Information Services staff check and add such statements to STORRE.
- For any publications submitted to STORRE that lie outside the scope of the RoMEO database, for example book chapters, Information Services staff contact publishers directly for permission for use in STORRE. So far, all such permissions have been granted by publishers.